

<b>REQUEST FOR QUOTATIONS (THIS IS NOT AN ORDER)</b>		THIS RFQ <input checked="" type="checkbox"/> IS <input type="checkbox"/> IS NOT A SMALL BUSINESS SET-ASIDE		PAGE 1	OF 31
1. REQUEST NO.  Q0A4AAC0007	2. DATE ISSUED  11 AUG 10	3. REQUISITION/PURCHASE REQUEST NO  A2010-4AH-0048/R04AH0012		CERT. FOR NAT. DEF UNDER BDSA REG. 2 AND/OR DMS REG. 1	
5a. ISSUED BY U. S. Department of Housing and Urban Development Atlanta Operations Branch Five Points Plaza, 40 Marietta St., 14 <sup>th</sup> floor Atlanta, Georgia 30303-2806				6. DELIVER BY (Date)	
5b. FOR INFORMATION CALL (NO COLLECT CALLS)				7. DELIVERY	
NAME  Charles W Hoyle Jr., Contract Specialist <a href="mailto:Charles.w.hoylejr@hud.gov">Charles.w.hoylejr@hud.gov</a>		TELEPHONE NUMBER		<input checked="" type="checkbox"/> FOB DESTINATION <input type="checkbox"/> OTHER (See Schedule)	
		AREA CODE  678	NUMBER  732-2761	9. DESTINATION	
8. TO:				a. NAME OF CONSIGNEE.	
a. NAME		b. COMPANY		b. STREET ADDRESS  Atlanta SF Housing HOC	
c. STREET ADDRESS				c. CITY ATLANTA	
d. CITY		e. STATE	f. ZIP CODE	d. STATE GA	e. ZIP CODE 30303-2086
10. PLEASE FURNISH QUOTATIONS TO THE ISSUING OFFICE IN BLOCK 5A ON OR BEFORE (Date/Time)		<b>IMPORTANT:</b> This is a request for information, and quotations furnished are not offers. If you are unable to quote, please so indicate on this form and return it to the address in Block 5A. This request does not commit the Government to pay any costs incurred in the preparation of the submission of this quotation or to contract for supplies or services. Supplies are of domestic origin unless otherwise indicated by quoter. Any representations and/or certifications attached to this Request for Quotations must be completed by the quoter.			

**August 30, 2010-2:00 pm**

11. SCHEDULE (Include applicable Federal, State and local taxes)					
ITEM NO. (a)	SUPPLIES/SERVICES (b)	QUANTITY	UNIT (d)	UNIT PRICE (e)	AMOUNT (f)
	SEE PAGE 2				
	Duns# _____ TIN# _____				

12. DISCOUNT FOR PROMPT PAYMENT >	a. 10 CALENDAR DAYS (%)	b. 20 CALENDAR DAYS (%)	c. 30 CALENDAR DAYS (%)	d. CALENDAR DAYS	
				NUMBER	PERCENTAGE

NOTE: Additional provisions and representations <input checked="" type="checkbox"/> are <input type="checkbox"/> are not attached.				
13. NAME AND ADDRESS OF QUOTER			14. SIGNATURE OF PERSON AUTHORIZED TO SIGN QUOTATION	15. DATE OF QUOTATION
a. NAME OF QUOTER			16. SIGNER	b. TELEPHONE
b. STREET ADDRESS				
c. COUNTY				
d. CITY	e. STATE	f. ZIP CODE	a. NAME (Type or print)	AREA CODE
			c. TITLE (Type or print)	NUMBER

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**STANDARD FORM 18** (Rev. 6-95)  
Prescribed by GSA - FAR (48 CFR) 53.215-1(a)

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**SECTION B – SUPPLIES OR SERVICES AND PRICES/COSTS.**

Item No. (a)	Supplies/Services (b)	Quantity (c)	Unit (d)	Unit Price (e)	Amount (f)
AAAA	Provide on-site Field Review of Appraisals in accordance with the Statement of Work for the Commonwealth of Puerto Rico	N/A	N/A	N/A	NSP
0001	Interior/ Exterior Review	Est.15	Ea.	\$ _____	\$ _____
0002	Exterior review	Est. 10	Ea.	\$ _____	\$ _____
TOTAL	ITEMS 0001 &0002				NTE \$ _____

**SECTION C – DESCRIPTION/ SPECIFICATIONS/ STATEMENT OF WORK.**

STATEMENT OF WORK (SOW)  
For  
FIELD REVIEW OF APPRAISALS

**C.1. GENERAL**

**C.1.1. SCOPE OF WORK.** The contractor shall be responsible for the management and administration of all tasks assigned under the purchase order and shall furnish the necessary personnel, materials, labor, services (including all transportation that may be needed) necessary to conduct field reviews of appraisals of 1-4 unit family properties for the Department of Housing and Urban Development (HUD) Atlanta Homeownership Center (HOC).

**C.1.2. BACKGROUND INFORMATION.**

The Atlanta HOC has a Headquarters generated quarterly requirement with a deadline to review a percentage of Federal Housing Administration (FHA) roster appraisers, evaluate the findings, and recommend actions/sanctions for those appraisers who are found not to be performing in a satisfactory manner. These reviews are a Departmental priority for the purpose of removal of unsatisfactory performing appraisers as expeditiously as possible. To facilitate this requirement, the Atlanta HOC utilizes State Certified Appraisers to perform the on-site field reviews of properties and/or appraisals. The performance of the contractor is a vital link in the process. Providing these services in a timely manner is critical to this process and to HUD's mission. It is essential that the contractor meet the time frames/deadlines established in the resulting purchase order.

**GEOGRAPHIC AREA OF CONSIDERATION.** Services under the resulting purchase order will be performed in the Commonwealth of Puerto Rico

**C.1.3. PERSONNEL QUALIFICATIONS AND RESTRICTIONS.**

**C.1.3.1.** . An appraiser who conducts field reviews under this purchase order must be certified as a "*State Certified Residential Appraiser*" in the state where the appraisals will be performed and be on the FHA Approved Appraiser Roster at the time of award of this purchase order. If you are not an approved FHA appraiser, the following website should help you out on how to become one: <http://www.hud.gov/offices/hsg/sfh/ref/sfhp1-07.cfm>

**C.1.4. QUALITY CONTROL.**

**C.1.4.1.** Acceptable Performance Level (APL), at a minimum, the contractor shall deliver 90% of the completed reviews error-free. For the purpose of this contract, an error is defined as follows:

Failure to complete work within the performance period as described in the Statement of Work (SOW).

Failure to deliver an acceptable and complete ARR and all attachments as described, via electronic media and hard copy as directed by the HOC including Work Order and Standard 1034 in SOW above.

From a random selection of 10% of the work assignment, HUD will review the work cases completed to determine if the cases contain errors as set out in the Statement of Work (SOW).

#### C.1.5. QUALITY ASSURANCE.

C.1.5.1. During the performance period, the GTR/GTM will conduct periodic Quality Control (QC) reviews of the work completed by the contractor. If during these reviews an appraisal review is found to be unacceptable due to the contractor's errors or omissions, the GTR/GTM will direct the contractor to reevaluate a specific property and/or appraisal in accordance with the HUD Clause 2452.246-70, Inspection and Acceptance. The contractor shall not receive any additional compensation. The reevaluation shall be completed under the same deadline and the contractor shall comply with all purchase order requirements applicable to the initial review.

#### C.1.6. OPERATING HOURS.

C.1.6.1. The Atlanta HOC hours of operation are Monday through Friday 8:00AM to 4:30PM Eastern Time. The FHA Connection web-based system operational hours for data input are Monday through Friday, 8:00AM to 9:00PM and Saturday, 8:00AM to 8:00PM Eastern Time. The contractor may request information necessary to complete all tasks under the statement of work during the Atlanta HOC hours of operation.

#### C.1.7. INSPECTION OF RECORDS AND FILES.

C.1.7.1. The contractor shall maintain all purchase order records, reports, correspondence, and supporting data in accordance with the Uniform Standards of Professional Appraisal Practices (USPAP) and maintain copies for the time period specified therein. If requested during this time period, all records shall be available for review by the GTR/GTM.

#### C.2. DEFINITIONS.

##### C.2.1. STANDARD DEFINITIONS.

C.2.1.2. Contract. A mutually binding legal document obligating the contractor to furnish services and HUD to pay for them.

C.2.1.3. Contracting Officer (CO/KO). A person with the authority to enter into, administer, and/or terminate contracts and make related determinations and findings.

C.2.1.4. Days. Calendar days, unless otherwise specified.

C.2.1.5. HUD. The U.S. Department of Housing and Urban Development. The terms "Department of Housing and Urban Development", "HUD" and "Government" shall be synonymous and may be used interchangeably in this contract.

C.2.1.6. QUALITY ASSURANCE. Those actions taken by the Government to assure services meet the requirements of the SOW and all other service outputs.

C.2.1.7. QUALITY CONTROL. Those actions taken by a Contractor to control the performance of services so that they meet the requirements of the SOW.

#### C.2.2. TECHNICAL DEFINITIONS AND ACRONYMS PECULIAR TO THIS SOW.

C.2.2.1. ARP. Appraisal Review Package. An assignment of a number of reviews sent together in one package.

C.2.2.2. ARR. Appraisal Review Report.

C.2.2.3. FHA. Federal Housing Administration.

C.2.2.4. FHA Roster. List of appraisers posted on the FHA Connection who are approved to perform FHA appraisals.

C.2.2.5. GTM. Government Technical Monitor.

C.2.2.6. GTR. Government Technical Representative.

C.2.2.7. HOC. Atlanta Homeownership Center, 40 Marietta Street, Atlanta, GA 30303-2806.

C.2.2.8. M&M. Management and Marketing contractor. The name of the M&M will be provided upon contract award.

C.2.2.9. REO. Real Estate Owned.

C.2.2.10. Single-Family Properties. Properties with one to four (1-4) units.

C.2.2.11. USPAP. Uniform Standards of Professional Appraisal Practice.

### C.3. GOVERNMENT FURNISHED INFORMATION AND SERVICES.

C.3.1. FHA Connection. HUD will provide access to the FHA Connection web-based system in order for the contractor to complete all tasks required under the resulting contract.

C.3.2. The Government will conduct a mandatory one day educational/training session located at the Atlanta HOC office on field review procedures, use, and completion of the ARR, HUD Handbook 4150.2, The Performance and Sanction Matrix, use of the FHA Connection system, and recent mortgagee letters and updates. The Government will give the contractor a five (5) advance notice to attend the meeting.

### C.4. CONTRACTOR FURNISHED ITEMS OR SERVICES.

C.4.1. The contractor shall furnish everything required to perform the tasks of the statement of work. The FHA Connection system requires the use of digital photographs and the minimum browser requirement in Netscape 6.2 or Internet Explorer 5.5. The contractor shall furnish a minimum camera resolution of 800x600 in JPEG medium quality format at 200 DPI with a 4X6 image size. The photographs shall be in focus with adequate lighting to permit the viewer to identify the property and the deficiency items.

### C.5. SPECIFIC TASKS.

C.5.1. The contractor shall be responsible for the management and administration of all tasks assigned under the purchase order and shall furnish the necessary personnel, materials, labor, services (including all transportation that may be needed), equipment to include digital photographic capability (requirements outlined in C.5.8), internet access i.e. Broadband, DSL, direct dial (HUD's minimum browser requirements for the we-based system is Netscape 6.2 or Internet Explorer 5.5) and any required computer software necessary or incidental to perform the tasks specified herein. HUD will deliver Appraisal Review Packages to the contractor via overnight delivery, regular mail service, or in Portable Document Format (.pdf) via internet email service. Receipt of Appraisal Review Packages must be acknowledged by method transmitted: signature for overnight delivery and regular mail service, and electronic receipt for internet email service."

C.5.2. As directed by the HOC, the contractor shall perform on the site field review of appraisals completed by the original appraisers on the FHA roster. For each review assignment, the contractor shall inspect the property and return a completed signed Appraisal Review Report (ARR) to the HOC. The completed ARR shall be returned to the HOC via electronic transmission (FHA Connection and/or other electronic media) and followed by a mailed hard copy of the complete review, unless otherwise directed by the HOC, as well as all attachments. The hard copy shall be sent so it is received by the HOC no later than 15 business days from the date of receipt of the ARP.

C.5.3. A Field Review of Appraisal is accomplished by the field reviewer contacting the homeowner by telephone and schedule an appointment (copies of homeowners' letters will be sent to the contractor). If the homeowner grants permission, the field reviewer shall complete the interior and exterior review. To assist in this effort, HOC staff will send an advance introduction letter to the homeowner alerting them that a field reviewer will be contacting them shortly to schedule an appointment. When access to the property is denied for the Field Appraisal Review, the contractor shall fully document attempts made to obtain access to the property and complete an exterior review. (See C.5.5)

C.5.4. Field Review of Appraisal: The contractor shall conduct an interior and exterior review whenever possible. Each interior/exterior review shall determine the accuracy and quality of the appraisal by ensuring that the factual information included in the appraisal report is correctly reported and supported. If the contractor determines the factual information included in the original appraisal report is not correctly reported, the contractor shall identify the incorrect information and provide the correct information supported by a copy of the contractor's data source for each review. This inspection shall be completed in a way that allows the reporting of any readily observable defective conditions that do not meet HUD Minimum Property Standards (MPS) or Minimum Property Requirements (MPR). See the revised appraisal protocol Appendix D of HUD Handbook 4150.2 attached to Mortgagee Letter 2005-48. The contractor shall document any unusual items, noticeable defects and/or oversights not reported by the original appraiser, which could affect the health and safety of the occupants or continued marketability of the property. The Field Reviewer must also perform an exterior inspection of the comparables submitted in the original appraisal as well as any additional comparables used in their ARR. All data reported by the original appraiser for the subject and comparables must be verified. Adjustments for certain parts of the appraisal (i.e. location, site, neighborhood boundaries) are subjective opinions. However, the Field Reviewer is required to analyze the adjustments in the original report and comment on their reasonableness. When discrepancies are noted, the Field Reviewer must provide a narrative explanation that includes supporting documentation necessary to sustain each adverse finding that is not otherwise obvious within the appraisal report. When adjustments affect value, the Field Reviewer is required to re-grid the comparables. If it is determined that there are comparables that are more suitable, the Field Reviewer is required to provide a new sales grid with pictures of the new comparable and an opinion of value.

C.5.5 Exterior Only Review: In cases where the contractor cannot gain access to the property, an exterior only review will be conducted. Exterior only reviews consist of driving by and taking a photo of the front of the subject property and comparables from the street.

C.5.6 The original appraiser has applied standard appraisal principles per the Uniform Standards of Professional Appraisal Practice (USPAP) and complied with HUD guidelines found in HUD Handbooks such as 4905.1, Minimum Acceptable Standards for Existing Properties; 4150.1 Rev 1 and 4150.2 Valuation analysis for Home Mortgage Insurance; HUD Handbook 4145.1 Rev 2, Change 1, Architectural Processing and Inspections for Home mortgage insurance; the HUD Homeownership Center (HOC) Reference Guide and current Mortgage Letters. These documents can be accessed through the following web link:  
[www.hud.gov/offices/admin/hudclips/](http://www.hud.gov/offices/admin/hudclips/)

C.5.7 The contractor's field review findings for each property inspected shall be documented on the ARR and shall be fully completed and signed by the contractor. The review comments shall be specific and limited to violation items presented in a constructive manner so that the roster appraiser understands those areas for the report which are unacceptable and which need improvement. The AAR and attachments shall be returned to the HOC via electronic transmission (FHA Connection and/or other electronic media as directed) followed by a mailed hard copy via overnight mail.

C.5.8 Digital photographs are required and shall include: the interior, as necessary to substantiate findings; exterior (photographs to show the front, rear, and sides) of the subject and all the appurtenant structures; missed repair conditions for the subject; and exterior (front only) of the comparable sales, including any new comparables the contractor used. The photographs shall be in focus with adequate lighting to permit the viewer to identify the property and the deficiency items. Third party photographs are unacceptable. If the rear of the property cannot be accessed for safety reasons, the contractor shall fully document the file. A minimum camera resolution of 800x600 in JPEG medium quality format at 200 DPI with a 4X6 image size is required.

C.5.9 In the event the contractor is needed to provide expert testimony and give depositions, or otherwise participate in litigation with HUD, a separate purchase order will be issued to cover the contractor's cost.

C.5.10 The contractor shall present to the homeowner the HOC Homeowner Introductory Letter on all interior reviews.

C.5.11 The contractor, including any of its employees (full or part time), subcontractors or consultants must avoid conflicts of interest that affect, either in reality or in appearance, the credibility of the field review report. The contractor and any of the contractor's employees or subcontractors may continue to serve on the FHA Appraiser Roster and perform FHA appraisals. Also, they must not have any financial interest, affiliation or interest, direct or indirect, in the property being reviewed the appraiser who performed the appraisal or the builder or seller of the property.

C.5.12 Nondisclosure of information. Neither the contractor nor any of its employees, subcontractors or consultants shall divulge or release data or information developed or obtained during performance of this contract, except to authorize HUD personnel with an established need to know or upon written approval of the Contracting Officer. Information contained in all source documents and other exhibits provide by HUD are the sole property of HUD.

(End of SOW)



**SECTION D – PACKAGING AND MARKING.****PAYMENT OF POSTAGE AND SHIPPING**

All postage and shipping costs related to the submission of the information (including reports and forms) required under this contract shall be paid for by the contractor. The unit prices quoted on SECTION B of the SF-18 Request for Quotation (RFQ) are inclusive of all postage, shipping and delivery charges.

**MARKING**

All information submitted to the Contracting Officer or GTR/GTM shall clearly indicate the contract number and indicated the number of reviews for which the information is being submitted.

**ENVIRONMENTALLY SAFE PACKING**

The offeror or contractor shall package non-breakable deliverables (reports, proposals, studies, etc.) using environmentally safe packaging materials (e.g., recycled paper). The packaging methods shall be in accordance with the best commercial practices and provide adequate protection during shipping and handling.

(End of Section)

**SECTION E – INSPECTION AND ACCEPTANCE.****HUDAR 2452.246-70 INSPECTION AND ACCEPTANCE (FEB 2006)**

Inspection and acceptance of all work required under this contract shall be performed by the Government Technical Representative (GTR) or other individual as designated by the Contracting Officer or GTR.

(End of Clause)

**SECTION F – DELIVERIES OR PERFORMANCE.****HUDAR 2452.211-70 EFFECTIVE DATE AND CONTRACT PERIOD (FEB 2006)**

- (a) This contract shall be effective nine (9) months after award date.
- (b) The contractor shall complete all work including all delivery within nine (9) months of award date.
- (c) Delivery dates for specific services and deliverables shall be as set forth in the Schedule

(d) The contractor shall receive an Appraisal Review Package (ARP) containing between one and five Appraisal Review Reports (ARP) every thirty (30 days). The Government may request the contractor to submit more than five (5) Appraisal Review Reports every 30 days

(End of Clause)

#### WORKLOAD ESTIMATES.

The workload estimates listed below reflect HUD's best estimates for the number of reviews required during the contract period. Offerors are cautioned that these quantities are provided strictly for the purpose of estimating contract prices and evaluating offers. HUD does not guarantee that these estimated quantities will be ordered under any purchase order resulting from this solicitation. The estimated maximum number of reviews is 25 per year.

#### SECTION G – CONTRACT ADMINISTRATION DATA.

##### HUDAR 2452.232-70 PAYMENT SCHEDULE AND INVOICE SUBMISSION (DEVIATION) (FIXED-PRICE) (FEB 2008)

(a) Payment Schedule. Payment of the contract price (see Schedule of the Contract) will be made upon completion and acceptance of all work unless a partial payment schedule is included below:

##### (b) Submission of Invoices

(1) Invoices shall be submitted as follows: original to the payment office identified on the award document (e.g., in Block 12 on the SF 26 or Block 25 on the SF 33, or elsewhere in the contract) with a copy to the Government Technical Representative (GTR) and a copy to the Contracting Officer. The final invoice shall not be paid prior to certification by the Contracting Officer that all work has been completed and accepted. To constitute a proper invoice, the invoice must include all items required by FAR clause 52.232 25, Prompt Payment.

(2) To assist the government in making timely payments, the contractor is also requested to include on each invoice the appropriation number shown on the contract award document (e.g., in Block 14 on the SF 26 or Block 21 on the SF 33). The contractor is also requested to clearly indicate on the mailing envelope that an invoice is enclosed.

(c) Contractor Remittance Information. The contractor shall provide the payment office with all information required by other payment clauses or other supplemental information (e.g., contracts for commercial services) contained in this contract.

Note: The Contractor is required to submit one invoice with the return of each Appraisal Review Package assigned.

(End of Clause)

##### HUDAR 2452.237-73 CONDUCT OF WORK AND TECHNICAL GUIDANCE (FEB 2006)

(a) The Government Technical Representative (GTR) for liaison with the contractor as to the conduct of work will be assigned at a later date by the Contracting Officer. The Contracting

Officer will notify the contractor in writing of any change to the current GTR's status or the designation of a successor GTR.

(b) The GTR will provide guidance to the contractor on the technical performance of the contract. Such guidance shall not be of a nature which:

(1) Causes the contractor to perform work outside the statement of work or specifications of the contract;

(2) Constitutes a change as defined in FAR 52.243 1;

(3) Causes an increase or decrease in the cost of the contract;

(4) Alters the period of performance or delivery dates; or

(5) Changes any of the other express terms or conditions of the contract.

(c) The GTR will issue technical guidance in writing or, if issued orally, he/she will confirm such direction in writing within five calendar days after oral issuance. The GTR may issue such guidance via telephone, facsimile (fax), or electronic mail.

(d) Certain of the GTR's duties and responsibilities may be delegated to one or more Government Technical Monitors (GTMs) (see HUDAR subpart 2402.1). The Contracting Officer will notify the contractor in writing of the appointment of any GTMs.

(e) Other specific limitations: None

(f) The contractor shall promptly notify the Contracting Officer whenever the contractor believes that guidance provided by any government personnel, whether or not specifically provided pursuant to this clause, is of a nature described in paragraph (b) above.

(End of Clause)

## **SECTION H – SPECIAL CONTRACT REQUIREMENTS.**

### **HUDAR 2452.209-70 POTENTIAL ORGANIZATIONAL CONFLICTS OF INTEREST (FEB 2000)**

(a) The Contracting Officer has determined that the proposed contract contains a potential organizational conflict of interest. Offerors are directed to FAR Subpart 9.5 for detailed information concerning organizational conflicts of interest.

(b) The nature of the potential conflict of interest is:

The contractor (including company principals, project manager and sub-contractors) shall not have any financial interest, affiliation or interest, direct or indirect, in the property assigned for review under the resulting purchase order. Should the contractor be assigned an appraisal which results in an actual or potential conflict of interest, the contractor shall take no action on the review, but shall refer it immediately to the GTR/GTM for re-assignment. Any violation of this section is grounds for termination and denial of payments.

(c) Offerors shall provide a statement which describes concisely all relevant facts concerning any past, present or planned interest (financial, contractual, organizational, or otherwise) relating to the work to be performed under the proposed contract and bearing on whether the offeror has a possible organizational conflict of interest with respect to:

(1) Being able to render impartial, technically sound, and objective assistance or advice, or

(2) Being given an unfair competitive advantage. The offeror may also provide relevant facts that show how its organizational structure and/or management systems limit its knowledge of possible organizational conflicts of interest relating to other divisions or sections of the organization and how that structure or system would avoid or mitigate such organizational conflict.

(d) No award shall be made until any potential conflict of interest has been neutralized or mitigated to the satisfaction of the Contracting Officer.

(e) Refusal to provide the requested information or the willful misrepresentation of any relevant information by an offeror shall disqualify the offeror from further consideration for award of a contract under this solicitation.

(f) If the Contracting Officer determines that a potential conflict can be avoided, effectively mitigated, or otherwise resolved through the inclusion of a special contract clause, the terms of the clause will be subject to negotiation.

(End of Provision)

## LICENSING

Offerors' must provide a current copy of the state license or certification of all employees, subcontractors, or consultants performing field reviews of appraisals under this purchase order.

## ADDITIONAL RESPONSIBILITIES

a. In the event the contractor's field review results in an unacceptable rating of the original appraisal, which results in an education or removal sanction, the contractor or the reviewer shall be available to participate in an informal conference or meeting with the Roster appraiser and HUD representatives to discuss the ratings given. HUD will hold these meetings via conference calls. The contractor maybe required to provide expert testimony and/or give dispositions or otherwise participate in litigation with HUD.

b. The Contractor shall take proper health and safety precautions to protect workers, the public and property of others. The Contractor shall, without additional expense to the Government obtain any necessary licenses, insurance, certifications and permits required in the performance of the purchase order and comply with any federal, state, county and municipal laws, codes and regulations applicable to the performance under this purchase order. The Contractor shall ensure that these responsibilities apply to all subcontractors.

c. Effective 1 January 2004, the government requires all contractors conducting business with the government to be registered in the Central Contractor Registration (CCR) data base.

Offerors can register at the following website, [www.ccr.gov](http://www.ccr.gov). Offerors must obtain a Dun and Bradstreet number prior to registration. All offerors who do not have a Dun and Bradstreet number must register to obtain a DUNS number at [www.dnb.com](http://www.dnb.com).

## CRIMINAL LIABILITY

It is understood that disclosures of information relating to the work or services hereunder to any person not entitled to receive it, or failure to safeguard any classified information as defined in Executive Order number 116523 that may come to the contractor or any person under the contractor's contract in connection with the work under this contract, may subject the contractor, his agents or employees to criminal liability under Title 18, Section 793, 794, and 798 of the United States Code.

## SECTION I – CONTRACT CLAUSES.

### FAR 52.213-4 TERMS AND CONDITIONS —SIMPLIFIED ACQUISITIONS (OTHER THAN COMMERCIAL ITEMS) (AUG 2009)

(a) The Contractor shall comply with the following Federal Acquisition Regulation (FAR) clauses that are incorporated by reference:

(1) The clauses listed below implement provisions of law or Executive order:

- (i) 52.222-3, Convict Labor (June 2003) (E.O. 11755).
- (ii) 52.222-21, Prohibition of Segregated Facilities (Feb 1999) (E.O. 11246).
- (iii) 52.222-26, Equal Opportunity (Mar 2007) (E.O. 11246).
- (iv) 52.222-50, Combating Trafficking in Persons (Feb 2009) (22 U.S.C. 7104(g)).
- (v) 52.225-13, Restrictions on Certain Foreign Purchases (June 2008) (E.o.s, proclamations, and statutes administered by the Office of Foreign Assets Control of the Department of the Treasury).
- (vi) 52.233-3, Protest After Award (Aug 1996) (31 U.S.C. 3553).
- (vii) 52.233-4, Applicable Law for Breach of Contract Claim (OCT 2004) (Pub. L. 108-77, 108-78).

(2) Listed below are additional clauses that apply:

- (i) 52.232-1, Payments (Apr 1984).
- (ii) 52.232-8, Discounts for Prompt Payment (Feb 2002).
- (iii) 52.232-11, Extras (Apr 1984).
- (iv) 52.232-25, Prompt Payment (Oct 2008).
- (v) 52.233-1, Disputes (July 2002).
- (vi) 52.244-6, Subcontracts for Commercial Items (June 2010).
- (vii) 52.253-1, Computer Generated Forms (Jan 1991).

(b) The Contractor shall comply with the following FAR clauses, incorporated by reference, unless the circumstances do not apply:

(1) The clauses listed below implement provisions of law or Executive order:

(i) 52.222-19, Child Labor—Cooperation with Authorities and Remedies (July 2010) (E.O. 13126). (Applies to contracts for supplies exceeding the micro-purchase threshold.)

(ii) 52.222-20, Walsh-Healey Public Contracts Act (Dec 1996) (41 U.S.C. 35-45) (Applies to supply contracts over \$10,000 in the United States, Puerto Rico, or the U.S. Virgin Islands).

(iii) 52.222-35, Equal Opportunity for Special Disabled Veterans, Veterans of the Vietnam Era, and Other Eligible Veterans (Sept 2006) (38 U.S.C. 4212) (Applies to contracts of \$100,000 or more).

(iv) 52.222-36, Affirmative Action for Workers with Disabilities (June 1998) (29 U.S.C. 793). (Applies to contracts over \$10,000, unless the work is to be performed outside the United States by employees recruited outside the United States.) (For purposes of this clause, *United States* includes the 50 States, the District of Columbia, Puerto Rico, the Northern Mariana Islands, American Samoa, Guam, the U.S. Virgin Islands, and Wake Island.)

(v) 52.222-37, Employment Reports on Special Disabled Veterans, Veterans of the Vietnam Era, and Other Eligible Veterans (Sept 2006) (38 U.S.C. 4212) (Applies to contracts of \$100,000 or more).

(vi) 52.222-41, Service Contract Act of 1965 (Nov 2007) (41 U.S.C. 351, *et seq.*) (Applies to service contracts over \$2,500 that are subject to the Service Contract Act and will be performed in the United States, District of Columbia, Puerto Rico, the Northern Mariana Islands, American Samoa, Guam, the U.S. Virgin Islands, Johnston Island, Wake Island, or the outer continental shelf lands.)

(vii) 52.223-5, Pollution Prevention and Right-to-Know Information (Aug 2003) (E.O. 13148) (Applies to services performed on Federal facilities).

(viii) 52.223-15, Energy Efficiency in Energy-Consuming Products (DEC 2007) (42 U.S.C. 8259b) (Unless exempt pursuant to 23.204, applies to contracts when energy-consuming products listed in the ENERGY STAR® Program or Federal Energy Management Program (FEMP) will be—

(A) Delivered;

(B) Acquired by the Contractor for use in performing services at a Federally-controlled facility;

(C) Furnished by the Contractor for use by the Government; or

(D) Specified in the design of a building or work, or incorporated during its construction, renovation, or maintenance.)

(ix) 52.225-1, Buy American Act—Supplies (Feb 2009) (41 U.S.C. 10a-10d) (Applies to contracts for supplies, and to contracts for services involving the furnishing of supplies, for use

in the United States or its outlying areas, if the value of the supply contract or supply portion of a service contract exceeds the micro-purchase threshold and the acquisition—

(A) Is set aside for small business concerns; or

(B) Cannot be set aside for small business concerns (see 19.502-2), and does not exceed \$25,000).

(x) 52.232-33, Payment by Electronic Funds Transfer—Central Contractor Registration (Oct 2003). (Applies when the payment will be made by electronic funds transfer (EFT) and the payment office uses the Central Contractor Registration (CCR) database as its source of EFT information.)

(xi) 52.232-34, Payment by Electronic Funds Transfer—Other than Central Contractor Registration (MAY 1999). (Applies when the payment will be made by EFT and the payment office does not use the CCR database as its source of EFT information.)

(xii) 52.247-64, Preference for Privately Owned U.S.-Flag Commercial Vessels (Feb 2006) (46 U.S.C. App. 1241). (Applies to supplies transported by ocean vessels (except for the types of subcontracts listed at 47.504(d).)

(2) Listed below are additional clauses that may apply:

(i) 52.209-6, Protecting the Government's Interest When Subcontracting with Contractors Debarred, Suspended, or Proposed for Debarment (Sept 2006) (Applies to contracts over \$30,000).

(ii) 52.211-17, Delivery of Excess Quantities (Sept 1989) (Applies to fixed-price supplies).

(iii) 52.226-6, Promoting Excess Food Donation to Nonprofit Organizations (Mar 2009) (Pub. L. 110-247) (Applies to contracts greater than \$25,000 that provide for the provision, the service, or the sale of food in the United States.)

(iv) 52.247-29, F.o.b. Origin (Feb 2006) (Applies to supplies if delivery is f.o.b. origin).

(v) 52.247-34, F.o.b. Destination (Nov 1991) (Applies to supplies if delivery is f.o.b. destination).

(c) *FAR 52.252-2, Clauses Incorporated by Reference (Feb 1998)*. This contract incorporates one or more clauses by reference, with the same force and effect as if they were given in full text. Upon request, the Contracting Officer will make their full text available. Also, the full text of a clause may be accessed electronically at this/these address(es):

<http://www.arnet.gov/far/>

<http://www.hud.gov/office/cpo/hudar/cfm>

(d) *Inspection/Acceptance*. The Contractor shall tender for acceptance only those items that conform to the requirements of this contract. The Government reserves the right to inspect or test any supplies or services that have been tendered for acceptance. The Government may require

repair or replacement of nonconforming supplies or reperformance of nonconforming services at no increase in contract price. The Government must exercise its post acceptance rights—

(1) Within a reasonable period of time after the defect was discovered or should have been discovered; and

(2) Before any substantial change occurs in the condition of the item, unless the change is due to the defect in the item.

(e) *Excusable delays.* The Contractor shall be liable for default unless nonperformance is caused by an occurrence beyond the reasonable control of the Contractor and without its fault or negligence, such as acts of God or the public enemy, acts of the Government in either its sovereign or contractual capacity, fires, floods, epidemics, quarantine restrictions, strikes, unusually severe weather, and delays of common carriers. The Contractor shall notify the Contracting Officer in writing as soon as it is reasonably possible after the commencement of any excusable delay, setting forth the full particulars in connection therewith, shall remedy such occurrence with all reasonable dispatch, and shall promptly give written notice to the Contracting Officer of the cessation of such occurrence.

(f) *Termination for the Government's convenience.* The Government reserves the right to terminate this contract, or any part hereof, for its sole convenience. In the event of such termination, the Contractor shall immediately stop all work hereunder and shall immediately cause any and all of its suppliers and subcontractors to cease work. Subject to the terms of this contract, the Contractor shall be paid a percentage of the contract price reflecting the percentage of the work performed prior to the notice of termination, plus reasonable charges that the Contractor can demonstrate to the satisfaction of the Government, using its standard record keeping system, have resulted from the termination. The Contractor shall not be required to comply with the cost accounting standards or contract cost principles for this purpose. This paragraph does not give the Government any right to audit the Contractor's records. The Contractor shall not be paid for any work performed or costs incurred that reasonably could have been avoided.

(g) *Termination for cause.* The Government may terminate this contract, or any part hereof, for cause in the event of any default by the Contractor, or if the Contractor fails to comply with any contract terms and conditions, or fails to provide the Government, upon request, with adequate assurances of future performance. In the event of termination for cause, the Government shall not be liable to the Contractor for any amount for supplies or services not accepted, and the Contractor shall be liable to the Government for any and all rights and remedies provided by law. If it is determined that the Government improperly terminated this contract for default, such termination shall be deemed a termination for convenience.

(h) *Warranty.* The Contractor warrants and implies that the items delivered hereunder are merchantable and fit for use for the particular purpose described in this contract.



(End of Clause)

**FAR 52.219-6 NOTICE OF TOTAL SMALL BUSINESS SET-ASIDE (JUNE 2003)**

(a) *Definition.* "Small business concern," as used in this clause, means a concern, including its affiliates that is independently owned and operated, not dominant in the field of operation in which it is bidding on Government contracts, and qualified as a small business under the size standards in this solicitation.

(b) *General.*

(1) Offers are solicited only from small business concerns. Offers received from concerns that are not small business concerns shall be considered nonresponsive and will be rejected.

(2) Any award resulting from this solicitation will be made to a small business concern.

(c) *Agreement.* A small business concern submitting an offer in its own name shall furnish, in performing the contract, only end items manufactured or produced by small business concerns in the United States or its outlying areas. If this procurement is processed under simplified acquisition procedures and the total amount of this contract does not exceed \$25,000, a small business concern may furnish the product of any domestic firm. This paragraph does not apply to construction or service contracts.

(End of Clause)

**HUDAR 2452.203-70 PROHIBITION AGAINST THE USE OF FEDERAL EMPLOYEES (FEB 2006)**

In accordance with Federal Acquisition Regulation 3.601, contracts are not to be awarded to government employees or a business concern or other organization owned or substantially owned or controlled by one or more government employees. For the purposes of this contract, this prohibition against the use of government employees includes any work performed by the contractor or any of its employees, subcontractors, or consultants.

(End of Clause)

**HUDAR 2452.222-70 ACCESSIBILITY OF MEETINGS, CONFERENCES, AND SEMINARS TO PERSONS WITH DISABILITIES (FEB 2006)**

The contractor shall assure that any meeting, conference, or seminar held pursuant to the contract meets all applicable standards for accessibility to persons with disabilities pursuant to Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. 794), and any implementing regulations of the Department. The contractor shall be responsible for ascertaining the specific accessibility needs (e.g., sign language interpreters) for each meeting, conference, or seminar in light of the known or anticipated attendees.

(End of Clause)

**HUDAR 2452.237-70 KEY PERSONNEL (FEB 2006)**

(a) Definition. "Personnel" means employees of the contractor, or any subcontractor(s), affiliates, joint venture partners, or team members, and consultants engaged by any of those entities.

(b) The personnel specified below are considered to be essential to the work being performed under this contract. Prior to diverting any of the specified individuals to other projects, the contractor shall notify the Contracting Officer reasonably in advance and shall submit justification (including proposed substitutions) in sufficient detail to permit evaluation of the impact on the program. No diversion shall be made by the contractor without the written consent of the Contracting Officer. Key personnel shall perform as follows:

Name and Position	Responsibility

(End of Clause)

**HUDAR 2452.237-77 OBSERVANCE OF LEGAL HOLIDAYS AND CLOSURE OF HUD FACILITIES (FEB 2006)**

(a)(1) The Department of Housing and Urban Development observes the following days as holidays--

New Year's Day  
 Martin Luther King's Birthday  
 Washington's Birthday  
 Memorial Day  
 Independence Day  
 Labor Day  
 Columbus Day  
 Veterans Day  
 Thanksgiving Day  
 Christmas Day

Any other day designated by Federal law, Executive Order or Presidential Proclamation.

(2) When any holiday specified in (a)(1) falls on a Saturday, the preceding Friday shall be observed. When any such holiday falls on a Sunday, the following Monday shall be observed. Observances of such days by Government personnel shall not be cause for additional period of performance or entitlement to compensation except as set forth in the contract. If the contractor's personnel work on a holiday, no form of holiday or other premium compensation will be reimbursed either as a direct or indirect cost, unless authorized pursuant to an overtime clause elsewhere in this contract.

(b)(1) HUD may close a HUD facility for all or a portion of a business day as a result of-

(A) Granting administrative leave to non-essential HUD employees (e.g., unanticipated holiday);

(B) Inclement weather;

(C) Failure of Congress to appropriate operational funds;

(D) Or any other reason.

(2) In such cases, contractor personnel not classified as essential, i.e., not performing critical round-the-clock services or tasks, who are not already on duty at the facility shall not report to the facility. Such contractor personnel already present shall be dismissed and shall leave the facility.

(3) The contractor agrees to continue to provide sufficient personnel to perform round-the-clock requirements of critical tasks already in operation or scheduled for performance during the period in which HUD employees are dismissed, and shall be guided by any specific instructions of the Contracting Officer or his/her duly authorized representative.

(c) When contractor personnel services are not required or provided due to closure of a HUD facility as described in this clause, the contractor shall be compensated as follows--

(1) For fixed price contracts, deductions in the contractor's price will be computed as follows--

(A) The deduction rate in dollars per day will be equal to the per month contract price divided by 21 days per month.

(B) The deduction rate in dollars per day will be multiplied by the number of days services are not required or provided.

If services are provided for portions of days, appropriate adjustment will be made by the Contracting Officer to ensure that the contractor is compensated for services provided.

(2) For cost-reimbursement, time-and-materials and labor-hour type contracts, HUD shall not reimburse as direct costs, the costs of salaries or wages of contractor personnel for the period during which such personnel are dismissed from, or do not have access to, the facility.

(End of Clause)

#### **HUDAR 2452.239-71 INFORMATION TECHNOLOGY VIRUS SECURITY (FEB 2006)**

(a) The contractor hereby agrees to make every reasonable effort to deliver information technology products to HUD free of known computer viruses. The contractor shall be responsible for examining all such products prior to their delivery to HUD using software tools and processes capable of detecting all known viruses.

(b) The contractor shall include the following statement on deliveries of hardware, software, and data products, including diskettes, made under this contract:

*[product description, part/catalog number, other identifier, and serial number, if any]*

This product has been scanned for known viruses using [name of virus-screening product, including version number, if any] and is certified to be free of known viruses at the time of delivery."

(c) The Contracting Officer may assess monetary damages against the contractor sufficient to compensate HUD for actual or estimated costs resulting from computer virus damage or malicious destruction of computer information arising from the contractor's failure to take adequate precautions to preclude delivery of virus-containing products in the delivery of hardware, software, or data on diskettes under this contract.

(d) This clause shall not limit the rights of the government under any other clause of this contract.

(End of Clause)

## **SECTION J – LIST OF ATTACHMENTS.**

Attachment 1: Sample Contractor – Provided Letter Requesting Past Performance Evaluation

Attachment 2: Past Performance Questionnaire (3 Pages)

## **SECTION K – REPRESENTATIONS, CERTIFICATIONS, AND OTHER STATEMENTS OF OFFERORS OR RESPONDENTS.**

### **FAR 52.204-7 CENTRAL CONTRACTOR REGISTRATION (APR 2008)**

(a) Definitions. As used in this clause—

“Central Contractor Registration (CCR) database” means the primary Government repository for Contractor information required for the conduct of business with the Government.

“Data Universal Numbering System (DUNS) number” means the 9-digit number assigned by Dun and Bradstreet, Inc. (D&B) to identify unique business entities.

“Data Universal Numbering System +4 (DUNS+4) number” means the DUNS number assigned by D&B plus a 4-character suffix that may be assigned by a business concern. (D&B has no affiliation with this 4-character suffix.) This 4-character suffix may be assigned at the discretion of the business concern to establish additional CCR records for identifying alternative Electronic Funds Transfer (EFT) accounts (see the FAR at Subpart 32.11) for the same concern.

“Registered in the CCR database” means that—

(1) The Contractor has entered all mandatory information, including the DUNS number or the DUNS+4 number, into the CCR database; and

(2) The Government has validated all mandatory data fields, to include validation of the Taxpayer Identification Number (TIN) with the Internal Revenue Service (IRS), and has marked

the record “Active”. The Contractor will be required to provide consent for TIN validation to the Government as a part of the CCR registration process.

(b)(1) By submission of an offer, the offeror acknowledges the requirement that a prospective awardee shall be registered in the CCR database prior to award, during performance, and through final payment of any contract, basic agreement, basic ordering agreement, or blanket purchasing agreement resulting from this solicitation.

(2) The offeror shall enter, in the block with its name and address on the cover page of its offer, the annotation “DUNS” or “DUNS +4” followed by the DUNS or DUNS +4 number that identifies the offeror’s name and address exactly as stated in the offer. The DUNS number will be used by the Contracting Officer to verify that the offeror is registered in the CCR database.

(c) If the offeror does not have a DUNS number, it should contact Dun and Bradstreet directly to obtain one.

(1) An offeror may obtain a DUNS number—

(i) Via the Internet at <http://fedgov.dnb.com/webform> or if the offeror does not have internet access, it may call Dun and Bradstreet at 1-866-705-5711 if located within the United States; or

(ii) If located outside the United States, by contacting the local Dun and Bradstreet office. The offeror should indicate that it is an offeror for a U.S. Government contract when contacting the local Dun and Bradstreet office.

(2) The offeror should be prepared to provide the following information:

(i) Company legal business.

(ii) Trade style, doing business, or other name by which your entity is commonly recognized.

(iii) Company Physical Street Address, City, State, and ZIP Code.

(iv) Company Mailing Address, City, State and ZIP Code (if separate from physical).

(v) Company Telephone Number.

(vi) Date the company was started.

(vii) Number of employees at your location.

(viii) Chief executive officer/key manager.

(ix) Line of business (industry).

(x) Company Headquarters name and address (reporting relationship within your entity).

(d) If the Offeror does not become registered in the CCR database in the time prescribed by the Contracting Officer, the Contracting Officer will proceed to award to the next otherwise successful registered Offeror.

(e) Processing time, which normally takes 48 hours, should be taken into consideration when registering. Offerors who are not registered should consider applying for registration immediately upon receipt of this solicitation.

(f) The Contractor is responsible for the accuracy and completeness of the data within the CCR database, and for any liability resulting from the Government's reliance on inaccurate or incomplete data. To remain registered in the CCR database after the initial registration, the Contractor is required to review and update on an annual basis from the date of initial registration or subsequent updates its information in the CCR database to ensure it is current, accurate and complete. Updating information in the CCR does not alter the terms and conditions of this contract and is not a substitute for a properly executed contractual document.

(g)

(1)

(i) If a Contractor has legally changed its business name, "doing business as" name, or division name (whichever is shown on the contract), or has transferred the assets used in performing the contract, but has not completed the necessary requirements regarding novation and change-of-name agreements in Subpart 42.12, the Contractor shall provide the responsible Contracting Officer a minimum of one business day's written notification of its intention to (A) change the name in the CCR database; (B) comply with the requirements of Subpart 42.12 of the FAR; and (C) agree in writing to the timeline and procedures specified by the responsible Contracting Officer. The Contractor must provide with the notification sufficient documentation to support the legally changed name.

(ii) If the Contractor fails to comply with the requirements of paragraph (g)(1)(i) of this clause, or fails to perform the agreement at paragraph (g)(1)(i)(C) of this clause, and, in the absence of a properly executed novation or change-of-name agreement, the CCR information that shows the Contractor to be other than the Contractor indicated in the contract will be considered to be incorrect information within the meaning of the "Suspension of Payment" paragraph of the electronic funds transfer (EFT) clause of this contract.

(2) The Contractor shall not change the name or address for EFT payments or manual payments, as appropriate, in the CCR record to reflect an assignee for the purpose of assignment of claims (see FAR Subpart 32.8, Assignment of Claims). Assignees shall be separately registered in the CCR database. Information provided to the Contractor's CCR record that indicates payments, including those made by EFT, to an ultimate recipient other than that Contractor will be considered to be incorrect information within the meaning of the "Suspension of payment" paragraph of the EFT clause of this contract.

(h) Offerors and Contractors may obtain information on registration and annual confirmation requirements via the internet at <http://www.ccr.gov> or by calling 1-888-227-2423, or 269-961-5757.

(End of Clause)

## FAR 52.204-8 ANNUAL REPRESENTATIONS AND CERTIFICATIONS (FEB 2009)

(a)(1) The North American Industry Classification System (NAICS) code for this acquisition is 531320.

(2) The small business size standard is \$2 Millions.

(3) The small business size standard for a concern which submits an offer in its own name, other than on a construction or service contract, but which proposes to furnish a product which it did not itself manufacture, is 500 employees.

(b)(1) If the clause at 52.204-7, Central Contractor Registration, is included in this solicitation, paragraph (d) of this provision applies.

(2) If the clause at 52.204-7 is not included in this solicitation, and the offeror is currently registered in CCR, and has completed the ORCA electronically, the offeror may choose to use paragraph (d) of this provision instead of completing the corresponding individual representations and certifications in the solicitation. The offeror shall indicate which option applies by checking one of the following boxes:

☐ (i) Paragraph (d) applies.

☐ (ii) Paragraph (d) does not apply and the offeror has completed the individual representations and certifications in the solicitation.

(c)(1) The following representations or certifications in ORCA are applicable to this solicitation as indicated:

(i) 52.203-2, Certificate of Independent Price Determination. This provision applies to solicitations when a firm-fixed-price contract or fixed-price contract with economic price adjustment is contemplated, unless—

(A) The acquisition is to be made under the simplified acquisition procedures in Part 13;

(B) The solicitation is a request for technical proposals under two-step sealed bidding procedures; or

(C) The solicitation is for utility services for which rates are set by law or regulation.

(ii) 52.203-11, Certification and Disclosure Regarding Payments to Influence Certain Federal Transactions. This provision applies to solicitations expected to exceed \$100,000.

(iii) 52.204-3, Taxpayer Identification. This provision applies to solicitations that do not include the clause at 52.204-7, Central Contractor Registration.

(iv) 52.204-5, Women-Owned Business (Other Than Small Business). This provision applies to solicitations that—

(A) Are not set aside for small business concerns;

(B) Exceed the simplified acquisition threshold; and

(C) Are for contracts that will be performed in the United States or its outlying areas.

(v) 52.209-5, Certification Regarding Responsibility Matters. This provision applies to solicitations where the contract value is expected to exceed the simplified acquisition threshold.

(vi) 52.214-14, Place of Performance—Sealed Bidding. This provision applies to invitations for bids except those in which the place of performance is specified by the Government.

(vii) 52.215-6, Place of Performance. This provision applies to solicitations unless the place of performance is specified by the Government.

(viii) 52.219-1, Small Business Program Representations (Basic & Alternate I). This provision applies to solicitations when the contract will be performed in the United States or its outlying areas.

(A) The basic provision applies when the solicitations are issued by other than DoD, NASA, and the Coast Guard.

(B) The provision with its Alternate I applies to solicitations issued by DoD, NASA, or the Coast Guard.

(ix) 52.219-2, Equal Low Bids. This provision applies to solicitations when contracting by sealed bidding and the contract will be performed in the United States or its outlying areas.

(x) 52.222-22, Previous Contracts and Compliance Reports. This provision applies to solicitations that include the clause at 52.222-26, Equal Opportunity.

(xi) 52.222-25, Affirmative Action Compliance. This provision applies to solicitations, other than those for construction, when the solicitation includes the clause at 52.222-26, Equal Opportunity.

(xii) 52.222-38, Compliance with Veterans' Employment Reporting Requirements. This provision applies to solicitations when it is anticipated the contract award will exceed the simplified acquisition threshold and the contract is not for acquisition of commercial items.

(xiii) 52.223-1, Biobased Product Certification. This provision applies to solicitations that require the delivery or specify the use of USDA–designated items; or include the clause at 52.223-2, Affirmative Procurement of Biobased Products Under Service and Construction Contracts.

(xiv) 52.223-4, Recovered Material Certification. This provision applies to solicitations that are for, or specify the use of, EPA–designated items.

(xv) 52.225-2, Buy American Act Certificate. This provision applies to solicitations containing the clause at 52.225-1.

(xvi) 52.225-4, Buy American Act—Free Trade Agreements—Israeli Trade Act Certificate. (Basic, Alternate I, and Alternate II) This provision applies to solicitations containing the clause at 52.225-3.

(A) If the acquisition value is less than \$25,000, the basic provision applies.

(B) If the acquisition value is \$25,000 or more but is less than \$50,000, the provision with its Alternate I applies.



(C) If the acquisition value is \$50,000 or more but is less than \$67,826, the provision with its Alternate II applies.

(xvii) 52.225-6, Trade Agreements Certificate. This provision applies to solicitations containing the clause at 52.225-5.

(xviii) 52.225-20, Prohibition on Conducting Restricted Business Operations in Sudan—Certification.

(xix) 52.226-2, Historically Black College or University and Minority Institution Representation. This provision applies to—

(A) Solicitations for research, studies, supplies, or services of the type normally acquired from higher educational institutions; and

(B) For DoD, NASA, and Coast Guard acquisitions, solicitations that contain the clause at 52.219-23, Notice of Price Evaluation Adjustment for Small Disadvantaged Business Concerns.

(2) The following certifications are applicable as indicated by the Contracting Officer:

*[Contracting Officer check as appropriate.]*

\_\_\_ (i) 52.219-19, Small Business Concern Representation for the Small Business Competitiveness Demonstration Program.

\_\_\_ (ii) 52.219-21, Small Business Size Representation for Targeted Industry Categories Under the Small Business Competitiveness Demonstration Program.

\_\_\_ (iii) 52.219-22, Small Disadvantaged Business Status.

\_\_\_ (A) Basic.

\_\_\_ (B) Alternate I.

\_\_\_ (iv) 52.222-18, Certification Regarding Knowledge of Child Labor for Listed End Products.

\_\_\_ (v) 52.222-48, Exemption from Application of the Service Contract Act to Contracts for Maintenance, Calibration, or Repair of Certain Equipment Certification.

\_\_\_ (vi) 52.222-52, Exemption from Application of the Service Contract Act to Contracts for Certain Services—Certification.

\_\_\_ (vii) 52.223-9, with its Alternate I, Estimate of Percentage of Recovered Material Content for EPA—Designated Products (Alternate I only).

\_\_\_ (viii) 52.223-13, Certification of Toxic Chemical Release Reporting.

\_\_\_ (ix) 52.227-6, Royalty Information.

\_\_\_ (A) Basic.

\_\_\_ (B) Alternate I.

\_\_\_ (x) 52.227-15, Representation of Limited Rights Data and Restricted Computer Software.

(d) The offeror has completed the annual representations and certifications electronically via the Online Representations and Certifications Application (ORCA) website at

<http://orca.bpn.gov>. After reviewing the ORCA database information, the offeror verifies by submission of the offer that the representations and certifications currently posted electronically that apply to this solicitation as indicated in paragraph (c) of this provision have been entered or updated within the last 12 months, are current, accurate, complete, and applicable to this solicitation (including the business size standard applicable to the NAICS code referenced for this solicitation), as of the date of this offer and are incorporated in this offer by reference (see FAR 4.1201); except for the changes identified below [*offeror to insert changes, identifying change by clause number, title, date*]. These amended representation(s) and/or certification(s) are also incorporated in this offer and are current, accurate, and complete as of the date of this offer.

FAR CLAUSE #	TITLE	DATE	CHANGE
_____	_____	_____	_____

Any changes provided by the offeror are applicable to this solicitation only, and do not result in an update to the representations and certifications posted on ORCA.

(End of Provision)

## **SECTION L – INSTRUCTIONS, CONDITIONS, AND NOTICES TO OFFERORS OR RESPONDENTS.**

### **INSTRUCTIONS FOR PREPARING AND SUBMITTING QUOTATIONS.**

Quotes shall be submitted in two parts as below and shall be provided no later than the date and time specified in Block 10 of the Standard Form 18; late quotes will not be evaluated. Four (4) hard copies of the quote are required for Part I and three (3) hard copies of the proposal are required for Part II. Quotes will **not** be accepted via electronic mail or facsimile. Quotes or Proposals are limited to 20 pages, one sided with paper size 8½ x 11. Font size 11 or 12.

The following section of form SF-18, Request for Quotation shall be completed by the offeror;

- a. Provide Data Universal Numbering System (DUNS) & Brad Street and Tax Identification Number (TIN) in block 11(b) and
- b. Blocks 13(a) thru 16(b)

Questions regarding this Request for Quote (RFQ) will be accepted through August 17, 2010 at 2:00pm EST via email to the Contract Specialist listed in block 5(b) of the SF 18 for this RFQ.

When submitting a quote, the offeror must clearly mark the outside of the envelope containing the quotation with the following notation:

**MAILROOM: DO NOT OPEN**  
**RFQ NUMBER: Q0A4AAC0007**  
ATTN: Charles W Hoyle Jr. , Contract Specialist  
Mail or Hand Deliver Quotes to:  
U.S. Department of Housing and Urban Development  
Southern Field Contracting Operations, Atlanta Operations Branch, NSFA  
Five Points Plaza, 40 Marietta Street, NW, 14<sup>th</sup> Floor  
Atlanta, GA 30303-2806

## **1. ORGANIZATION OF PART I**

**Part I** shall contain two sections as described below:

- a) Section 1 shall contain the signed original of all documents requiring signature of the offeror. Each offeror shall complete (fill-ins and signatures) and submit the original document listed below. An authorized official of the firm shall sign the offer and all certifications requiring original signature.
  - 1. Standard Form (SF) 18 – Request for Quotation (including Acknowledgement of Amendments, if applicable). Section B includes Items 0001 through 0002.
  - 2. Section K of the SF 18 - Representations and Certifications and Other Statements of Offerors or Respondents.
- b) Section 2 shall contain the price factor
  - 1. Adequate competition is expected, therefore, submission of cost or price data is not required. However, the Contracting Officer reserves the right to require such data if unable to determine the reasonableness of the proposed price or to solve any apparent unbalanced pricing.
  - 2. Section B (Supplies or Services and Price/Costs) – shall be submitted fully completed and error free. It shall contain the offeror's prices for the established item number in Section B of the Request for Quote (RFQ).

## **2. ORGANIZATION OF PART II - Technical Acceptability Factor**

**Part II** shall be organized to separately address each technical acceptability item, Numbers 1 thru 4 (See Section M). The quotes shall be formatted and assembled to permit separation into four numbers (1 thru 4) for evaluation purposes. Because of the manner in which the quotes will be evaluated, submitted material not contained in the appropriate part may not be evaluated. Part II shall be organized as follows:

a) Items 1 thru 4 (See Section M) – Each item (1 thru 4) in the Technical Acceptability Factor shall consist of a narrative and supporting data that addresses all technical requirements contained in the Request for Quote (RFQ). The Technical Acceptability Factor shall be practical, straightforward, specific, concise and complete. The response to the quote shall contain narratives, supplemented with diagrams, pictures, charts, etc. as desired which clearly demonstrates an understating of all requirements.

b) Offerors shall have relevant experience. Relevant experience is: A minimum of five contracts within the last 3 years on any Federal, State or Local Government contract that shall address all recent and relevant experience in Appraisal Field Review services for contracts performed or completed satisfactorily, that are consistent with the size and scope of the functions described in the SOW and this overall requirement. In the event an offeror has no relevant past experience data, offeror are reminded that they may submit the information required for major subcontractors, work performed as part of a team or joint venture. The intent is to allow firms without prime contract history to provide past experience information.

c) Past Performance Information: The offeror shall provide documentation of field review of appraisals' past performance for a minimum of a 3-year period prior to submission of the quote, of the same or similar services relevant to the solicitation requirements, sufficient to ascertain the offeror would be able to perform the contract requirements with a high level of quality. The offeror's past performance under similar and related Government contracts over the last three years will be evaluated as an indicator of the offeror's ability to perform the services described in the Statement of Work (SOW).

The offeror's past performance will be evaluated as a measure of the government's confidence in the likelihood of the offeror to successfully perform based on previous and current contract efforts. The government will assess the recent, relevant performance in accordance with FAR 15.305. For each reference given, the offeror shall include the following information: contract number, performance period of contract, point of contact (POC), telephone number of POC, facsimile number of POC, dollar amount of contract, and general description of the contract.

The Government will contact some or all the references provided. In addition, the Government reserves the right to seek to obtain and use past performance information obtained from sources other than those identified by the offeror. Offeror shall notify all private sector references in writing and property residents/owners that they have been referred to the Government as a reference and are authorized to provide past performance information upon request.

## SECTION M – EVALUATION FACTORS FOR AWARD

### BASIS FOR AWARD

The Government intends to award one purchase order resulting from this Request for Quote to a responsible offeror using the lowest price technically acceptable selection process. Award will be based on the lowest evaluated price of offers meeting or exceeding the acceptability standards for the non-cost factors. The following factors shall be used to evaluate all offers:

- a. Factor 1 – Technical acceptability and
- b. Factor 2 – Price

Factor 1, shall be evaluated on a “go/no go” basis. If the quote has been determined to be a “go” on Factor 1, then Factor 2 will be evaluated for the lowest price. In order to be considered for further evaluation for Factor 2 (Price), an offeror must first be determined “Technically Acceptable”.

### EVALUATION APPROACH

- a. The Government will evaluate each quote using a lowest price technically acceptable (LPTA) informal source selection approach.
- b. All quotes will be subjected to an initial screening by the Contracting Officer to determine whether the information is complete and provided in accordance with the instructions of the Request for Quote, and whether they warrant further consideration. Each offeror’s quote will be screened to ascertain whether the offeror has provided a written technical approach, and a price quote in accordance with the requirements of the RFQ. Quotes that are considered incomplete after the initial screening process shall be rejected and offerors notified in writing they are no longer eligible for further consideration. A quote determined to be unreasonable, unrealistic, grossly inaccurate, and negligently incomplete in terms of price will not be considered for award.
- c. In accordance with FAR 52.215-1, the Government reserves the right to make award without discussions based solely upon initial offers, and without providing the opportunity for offerors to submit revised proposals. Therefore, the offeror’s initial proposal should contain the offeror’s best terms from a technical and price standpoint.

### EVALUATION FACTORS

**a. FACTOR 1. TECHNICAL ACCEPTABILITY.** The Government will evaluate each quote to determine technical acceptability (price excluded). In order to be technically acceptable the offeror shall meet the following criteria on a “go/no-go” basis. One “no-go” will render the offeror technically unacceptable.

1. Prior Relevant Experience. The offeror shall provide documented evidence of at least three (3) years of prior relevant experience similar with appraising 1-4 unit properties and FHA insured properties similar in nature to those required under this RFQ. The offeror must identify the predominant product or service provided, and the names, title, addresses, telephone numbers, fax numbers, and e-mail addresses (if available) of at least two people familiar with the offeror's experience.
2. Past Performance. The offeror shall provide documented evidence of past performance information regarding the three most recent contracts held by the contractor whether Government or private sector, similar to the work required under this RFQ. A Past Performance Evaluation Survey is attached and shall be returned by the request deadline.
3. Personnel Qualifications. The offeror must identify all Key Personnel and any persons proposed to conduct field review of appraisals under the resulting contract. The offeror must include in their quote documented proof that they are "*State Certified Residential Appraiser*" in the state where the appraisals will be performed and in addition to this requirement, the offeror must be on the FHA Approved Appraiser Roster at the time of contract award. **The only acceptable proof of certification is a copy of the state certification.**
4. Quality Control Plan. Offeror shall submit a quality control plan that at a minimum addresses how they will ensure Appraisal Review Reports (ARR) are 100% complete, accurate, error free, and returned within the time frames established in section C.5.2 of this statement of work.

## DEFINITIONS:

1. "Technically Acceptability" is defined as any evaluated quote that can be awarded "as is" with no discussions. Quote contains no deficiencies and/or weaknesses. Request for Quote meets or exceeds the Government's minimum needs and the Government is confident that the offeror can successfully perform the services.
2. "Technically Unacceptable" is defined as any offeror's proposal that fails to provide and or include sufficient information in their proposal to determine that the acceptability criteria have been met for all of the requirements of the statement of work.

**b. FACTOR 2. PRICE:** The Government will evaluate the offeror's total price using price analysis techniques identified in FAR 15.404-1. As total compensation for all on-site field review of appraisals assigned under the resulting contract HUD will pay the contractor a fixed-unit price per field review of appraisal. The unit price per field review of appraisals shall include all cost associated with performing all the tasks required under the statement of work (i.e., postage, shipping, and travel). Price will be assessed for completeness and reasonableness as follows:

1. Completeness. To be complete, the offeror must provide all pricing information for the established Contract Line Item set forth in SECTION B of the of the RFQ and supports the technical acceptability factor. The Government will assess the extent to which the price proposal complies with the content and format requirements set forth in the RFQ.

2. Price Reasonableness. The offeror's proposed pricing information will be evaluated to determine whether they are unreasonably high or low in relation to proposed pricing information received from other offerors. In the event adequate price competition is not received, the Government may use previous contract prices to determine price reasonableness. Comparison to the market value pricing for similar services being currently performed and the independent Government Cost Estimate (IGCE) may also be used in determining reasonableness.

**SAMPLE  
CONTRACTOR-PROVIDED LETTER REQUESTING PAST PEFROMANCE  
EVALUATION**

Date

(Addressee's address)

SUBJECT: Past Performance of XYZ Corporation on Contract X-XXX-12345, for XXX services

Dear Ms. or Mr.:

XYZ Corporation recently completed contract X-XXX-12345, in which we provided XXX services for your company/firm/department. The requirements of this contract were similar to the requirements of a solicitation for which XYZ Corporation has submitted a proposal.

As part of the proposal evaluation, I ask that you evaluate our performance of contract X-XXX-12345 by completing the enclosed *Past Performance Questionnaire* and faxing it within five days of receipt to the U.S. Department of Housing and Urban Development, Attention: Charles Hoyle, Contract Specialist, fax (404) 730-3426 or email [Charles.w.hoylejr@hud.gov](mailto:Charles.w.hoylejr@hud.gov) . The completed form will be marked and treated as "Source Selection Information" in accordance with Federal Acquisition Regulation 3.104, Procurement Integrity, and it will not be released except to Government personnel needing the information for source selection purposes and to XYZ Corporation for comments during source selection.

Your cooperation in this matter is greatly appreciated.

Respectfully,

Bob Jones  
President  
XYZ Corporation



PAST PERFORMANCE EVALUATION SURVEY

PLEASE FAX WITHIN 5 DAYS OF RECEIPT  
TO: **US DEPT OF HUD**  
**ATTN:**  
**RE:**  
**FAX: (404) 730-3426**

FROM: (POC Reference)

DATE:

NAME OF OFFEROR

1. NAME AND ADDRESS OF REFERENCE :

2. CONTRACT NUMBER OR IDENTIFIER	TYPE OF CONTRACT*	AWARD DATE	COMPLETION DATE	VALUE
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\*INCLUDE ALL THAT APPLY: FIRM-FIXED PRICE (FFP); FIXED-PRICE, ECONOMIC PRICE ADJUSTMENT (FP-EPA); COST-PLUS-FIXED-FEE (CPFF); COMPLETION OR TERM; COST-PLUS-INCENTIVE-FEE (CPIF); COST-PLUS-AWARD -FEE (CPAF) ; COST; COST-SHARING; INDEFINITE DELIVERY/INDEFINITE QUANTITY (IDIQ); BASIC ORDERING AGREEMENT (BOA); FEDERAL SUPPLY SCHEDULE; REQUIREMENTS; LABOR HOUR; TIME AND MATERIALS (T&M); OTHER

3. DESCRIPTION(S) OF PRODUCTS OR SERVICES PROVIDED:

4. USING THE ATTACHED PERFORMANCE RATING GUIDELINES CHART, PLEASE RATE THE COMPANY LISTED IN 1 ABOVE ON THE CONTRACTS/PROJECTS LISTED IN 2 ABOVE. PROVIDE COMMENTS FOR RATINGS OF EXCELLENT, POOR, OR UNSATISFACTORY

4a. QUALITY OF PRODUCTS AND SERVICES

☐ EXCELLENT (5)   ☐ GOOD (4)   ☐ FAIR (3)   ☐ POOR (2)   ☐ UNSATISFACTORY (1)

Comments:

4b. TIMELINESS OF PERFORMANCE:

☐ EXCELLENT (5)   ☐ GOOD (4)   ☐ FAIR (3)   ☐ POOR (2)   ☐ UNSATISFACTORY (1)

Comments:

4c. COST CONTROL

☐ EXCELLENT (5)   ☐ GOOD (4)   ☐ FAIR (3)   ☐ POOR (2)   ☐ UNSATISFACTORY (1)

Comments:

4d. BUSINESS RELATIONS:

☐ EXCELLENT (5)   ☐ GOOD (4)   ☐ FAIR (3)   ☐ POOR (2)   ☐ UNSATISFACTORY (1)

Comments:

5. WAS/IS THE FIRM COMMITTED TO CUSTOMER SATISFACTION?

☐ YES   ☐ NO

Comments:

6. WOULD YOU SELECT THIS FIRM AGAIN AND WHY? PLEASE INCLUDE COMMENTS REGARDING ANY SPECIFIC KEY PERSONNEL ON YOUR CONTRACT(S) THAT ARE RELEVANT. ATTACH ADDITIONAL SHEET IF NECESSARY.

7a. PRINTED/TYPED NAME AND SIGNATURE OF PREPARER OF SURVEY RESPONSE:

7b. TELEPHONE NUMBER:

**FOR OFFICIAL USE ONLY**

**PERFORMANCE RATING GUIDELINES**

IF A FACTOR IS NOT APPLICABLE (FOR EXAMPLE, COST CONTROL ON A FIXED-PRICE CONTRACT), THEN MARK THAT FACTOR AS N/A IN THE COMMENTS ON THE RATING SHEET.

MEASUREMENT CATEGORIES AND APPROPRIATE CRITERIA	<b>QUALITY OF PRODUCTS AND SERVICES</b> -Compliance with contract requirements -Accurate reporting -Use of appropriate personnel -Technical excellence	<b>TIMELINESS OF PERFORMANCE</b> -Performance milestones & delivery schedules reliably met -Responsive to technical direction -Timely completion, including wrap-up & contract admin. -No liquidated damages	<b>COST CONTROL</b> -Performance within budget/targeted costs -Current, accurate and complete billings -Actual costs in line with negotiated costs -Cost efficient -No change orders due to poor cost control	<b>BUSINESS RELATIONS</b> -Effective management -Businesslike communications -Prompt notification of problems -Reasonable, cooperative, flexible, pro-active -Effective small/small disadvantaged business subcontracting
EXCELLENT	There were no quality problems.	There were no delays.	There were no cost issues	Responses to inquiries and technical/service issues were consistently effective and responsive.
GOOD	Nonconformances had no effect on achievement of contract requirements.	Delays had no effect on achievement of contract requirements.	Cost issues had no effect on achievement of contract requirements.	Responses to inquiries and technical/service issues was usually effective and responsive.
FAIR	Nonconformances required minor resources to ensure achievement of contract requirements.	Delays required minor resources to ensure achievement of contract requirements.	Cost issues required minor resources to ensure achievement of contract requirements.	Responses to inquiries and technical/service issues were occasionally effective and responsive.
POOR	Nonconformances required significant resources to ensure achievement of contract requirements.	Delays required significant resources to ensure achievement of contract requirements.	Cost issues required significant resources to ensure achievement of contract requirements.	Responses to inquiries and technical/service issues were marginally effective and responsive.
UNSATISFACTORY	Nonconformances compromised achievement of contract requirements.	Delays compromised compliance with contract requirements.	Cost issues compromised achievement of contract performance requirements.	Responses to inquiries and technical/service issues were consistently ineffective and unresponsive.